



# Behavioral Health is Essential To Health



## Prevention Works



## Treatment is Effective



## People Recover



### SAMHSA-HRSA CENTER for INTEGRATED HEALTH SOLUTIONS

### Beyond Funding: Evaluating and Planning for your Program's Sustainability



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Kim Prewitt

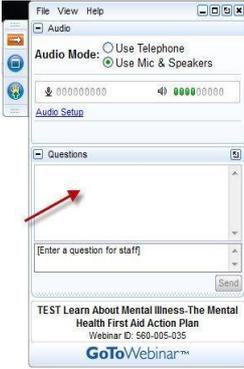
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GEORGE WARREN BROWN  
SCHOOL OF SOCIAL WORK



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# How to ask a question during the webinar



If you are listening to this webinar from your computer speakers, please type your questions into the question box and we will address your questions.

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## Disclaimer and Disclosure

### Disclaimer:

The views, opinions and content expressed in this presentation do not necessarily reflect the views, opinions or policies of the Center for Mental Health Services (CMHS), the Substance Abuse and Mental Health Services Administration (SAMHSA) or the U.S. Department of Health and Human Services (HHS).

### Disclosure:

The presenters Sarah Dexter and Kim Prewitt, have no conflicts of interest or financial relationships to disclose.

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## Learning Objectives

At the end of this session, grantees will be able to:

- Explore the components of program sustainability capacity
- Use the Program Sustainability Assessment Tool (PSAT)
- Understand the Program Sustainability Action Planning process



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# The Sustainability Process

## Understand



## Assess



## Plan

Indicator/Opportunity	What are the goals?	How are we measuring?	What are the needed resources?	Who is responsible?	When?
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## Define: Program

“Program” can be..

- Set of programs
- One single program
- Set of activities within a program (but not the whole program)



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## What is Sustainability?



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## How would you rate the overall sustainability of your program?

- 1 - Not at all sustainable
- 2
- 3
- 4
- 5 Very sustainable



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# The Program Sustainability Framework



Schell, SF, Luke, DA, Schooley, MW, Elliott, MB, Herbers, SH, Mueller, NB, and Bungler, AC. (2013). Public health program capacity for sustainability: A new framework. *Implementation Science, 8(15)*.

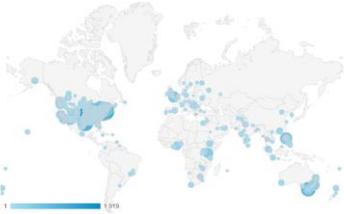


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# Sustaintool.org by the Numbers

**PSAT Completed 3500+ times on Sustaintool.org**

- Public Health – 45 %
- Social Services – 20 %
- Clinical Care – 12 %
- Other – 23 %



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# Program Sustainability Framework



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# Environmental Support



Having a supportive internal and external climate

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# Funding Stability



Establishing a consistent financial base for your program



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# Partnerships



Cultivating connections between your program and its stakeholders



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# Organizational Capacity



Having the internal support and resources needed to effectively manage your efforts and its activities



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# Program Evaluation



Assessing your program to inform planning and document results



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# Program Adaptation



Taking action to adapt your efforts to ensure its ongoing effectiveness



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# Communications



Strategic communication with stakeholders and the public about your program



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# Strategic Planning

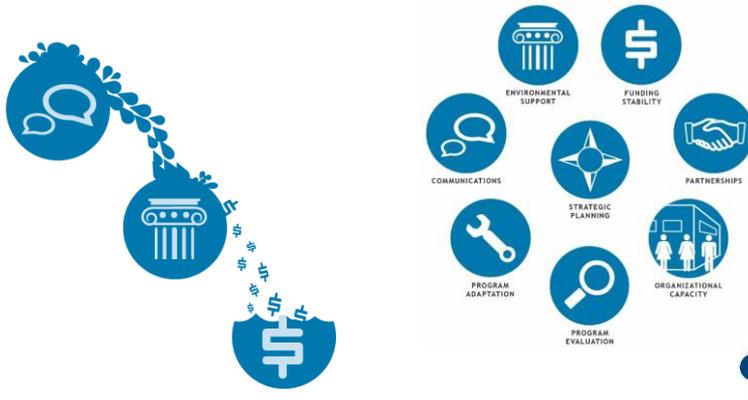


Using processes that guide your program's directions, goals, and strategies



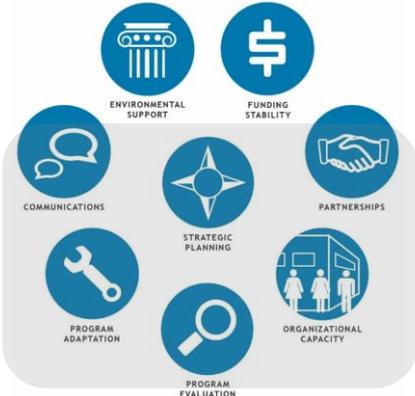
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# Domain Connectivity



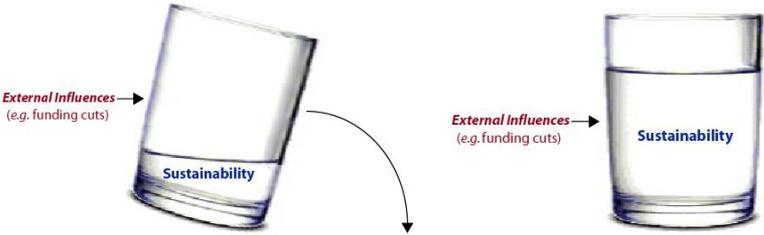
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# Focus on what you can change



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# Capacity for Sustainability



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# Program Sustainability Assessment Tool [Sustaintool.org/understand](http://Sustaintool.org/understand)

The screenshot shows the homepage of the Program Sustainability Assessment Tool. At the top, there is a navigation menu with links for 'Understand', 'Assess', 'Plan', 'Resources', 'About Us', and 'Services'. Below the menu, a large banner features a group of diverse people looking at a screen. The text on the banner reads: 'Welcome to the Program Sustainability Assessment Tool. Let us help you rate the sustainability capacity of your program using a range of factors.' A 'GET STARTED' button is prominently displayed. Below the banner, four circular icons represent the steps: 1. Understand (lightbulb icon), 2. Assess (clipboard icon), 3. Review (checklist icon), and 4. Plan (bar chart icon). Each step has a brief description below it. To the right of the steps is a logo consisting of four interlocking shapes in green, blue, and orange. At the bottom of the page, a dark red bar contains the URL 'integration.samhsa.gov'.

# Program Sustainability Assessment Tool [Sustaintool.org](http://Sustaintool.org)

- 8 domains
- 5 items per domain
- < 15 minutes
- Individual or Group (12)



The footer section features a dark red background. On the left, the URL 'integration.samhsa.gov' is written in white. On the right, there is a logo consisting of four interlocking shapes in green, blue, and orange, identical to the one in the screenshot above.

## Before the PSAT: Terms to Remember

In the assessment:

- “program” = The program you have been invited to assess & defined by your sustainability group
- “staff” = The staff and volunteers who work on that specific program



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## Who to invite?

Select a team to take the assessment

- Multi-level
- Engaged in the program
- Committed to seeing the program (and its benefits) last
- Can include board members, volunteers, front-line staff, program managers



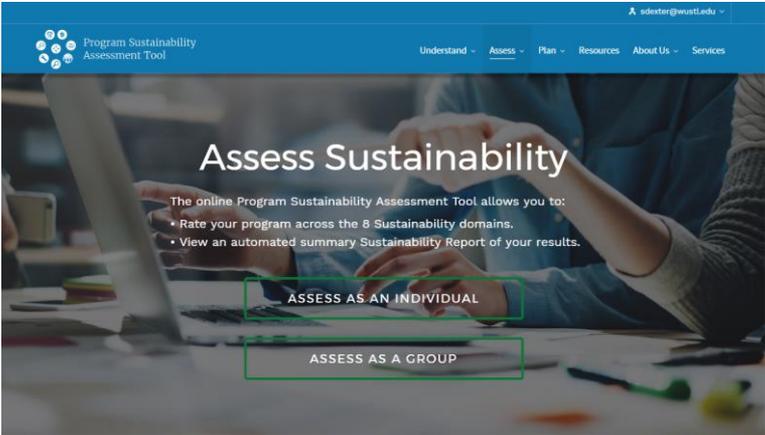
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# Start your assessment!



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# Set Up Your Assessment



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# Group Assessment

Each person will receive an individual email with a unique link to take the Program Sustainability Assessment Tool for your program. People will not have to create an account. The link will send them directly to the PSAT for your program.

**Customize your message**

**Subject**

Please participate in the Assessment of Test.

**Body**

Hello!

You are invited to assess the sustainability of Test using the Program Sustainability Assessment Tool. The results of this assessment will provide you with important information about the sustainability capacity of Test.

Once you complete the assessment, you will be able to view an immediate summary of your responses as a Sustainability Report. Later, your responses will be combined with responses from other program staff and stakeholders into a single summary Sustainability Report. This summary report can then be used to guide our sustainability planning. Your individual responses will remain anonymous.

The assessment should take approximately 10-15 minutes to complete. Please follow the instructions below to complete your assessment.

Thank you.

The Test team

- Remember to give your participants a deadline to complete the PSAT
- Remember to say who this email is from

[CANCEL](#) [SEND INVITES](#)

# Sustaintool.org: Begin Assessment

Program Sustainability Assessment Tool

Understand Assess Plan Resources About Us Services

**Progress**

**Overview**

**What is the purpose of this assessment?**

The Program Sustainability Assessment Tool will enable you to assess **Sample Assessment's** current capacity for sustainability across a range of specific organizational and contextual components. Your responses will identify sustainability strengths and challenges. You can then use results to guide sustainability action planning for your program.

**What is program sustainability capacity?**

We define program sustainability capacity as *the ability to maintain programming and its benefits over time.*

**Why is program sustainability capacity important?**

Programs at all levels and settings struggle with their sustainability capacity. Unfortunately, when effective programs shut their doors, hard won improvements in public health, clinical care, or social service outcomes are lost. To maintain these benefits to society, stakeholders must understand all of the factors that contribute to program sustainability. Knowledge of these critical components, stakeholders can build program capacity for sustainability and position their efforts for long term success.

**In the following questions, you will rate Sample Assessment across a range of specific factors that affect sustainability. Please respond to as many items as possible. If you truly feel you are not able to answer an item, you may select "NA."**

For each statement, select the number that best indicates the extent to which Sample Assessment has or does the following things.

[SAVE AND CONTINUE LATER](#) [NEXT](#)



## Sustaintool.org: View Results

### Sustainability Profile

[DOWNLOAD PDF](#)

Many factors affect a program's ability to continue providing services and producing benefits over time. For example, funding, quality evaluation, infrastructure, strong partnerships, and clear communication all have a role to play in supporting program sustainability. The Program Sustainability Assessment Tool allows stakeholders to rate their programs on the extent to which they have processes and structures in place that will increase the likelihood of sustainability. This report summarizes the current sustainability capacity for your program. Results are based on your program's responses to the Program Sustainability Assessment Tool. Assessment results can then be used to identify next steps in building program capacity for sustainability in order to position efforts for long-term success.

<b>Overall Capacity for sustainability</b>	4.3
Environmental Support	4.4
Funding Stability	2.4
Partnerships	5.0
Organizational Capacity	NA
Program Evaluation	5.6
Program Adaptation	3.0
Communications	5.2
Strategic Planning	4.4

#### Interpreting the Results:

The table presents the average rating for each sustainability domain based on the responses that you provided. The remainder of the document presents the ratings for indicators within each domain. There is no minimum rating that guarantees the sustainability of your program. However, lower ratings do indicate opportunities for improvement that you may want to focus on when developing a plan for sustainability.

## Sustaintool.org: Results E-mail

### Your sustainability profile results.

 Program Sustainability Assessment Tool <admin@sustaintool.org>

Sent: Thu 12/18/2014 2:49 PM

To:  Hastings, Molly

Thank you for taking the Program Sustainability Assessment Tool. We hope you will use these results to engage in sustainability action planning for your program. You may click the link below to view or download a PDF of your sustainability profile results.

<https://sustaintool.org/node/3513/sustainability.pdf>

Thanks for participating.  
The CPHSS Team

# Sustaintool.org: Results PDF

### Sustainability Report

INDIVIDUAL

**Test** Submitted by: **sdexter@wustLedu**  
Date: **June 22, 2017**

Many factors impact a program's ability to continue providing services and producing benefits over time. For example, funding, quality evaluation, infrastructure, strong partnerships, and clear communication all have a role to play in supporting program sustainability. The Program Sustainability Assessment Tool (PSAT) allows stakeholders to rate their programs on the extent to which they have processes and structures in place that will increase the likelihood of sustainability. This report summarizes the current sustainability capacity for your program. Results are based on your program's responses to the Program Sustainability Assessment Tool. Assessment results can then be used to identify next steps in building program capacity for sustainability in order to position efforts for long term success.

**Here is your sustainability score: 4.3**

Domain	Domain Score
Environmental Support	4.0
Funding Stability	4.8
Partnerships	4.6
Organizational Capacity	3.4
Program Evaluation	4.2
Program Adaptation	5.2
Communications	4.2
Strategic Planning	4.2

**Interpreting the Results**  
The table presents the average rating for each sustainability domain based on the responses that you provided. The remainder of the document presents the ratings for indicators within each domain. There is no minimum rating that guarantees the sustainability of a program. However, lower ratings do indicate opportunities for improvement that a program may want to focus on when developing a plan for sustainability.

**Next Steps**

- These results can be used to guide sustainability planning for your program.
- Areas with lower ratings indicate that there is room for improvement.
- Address domains that are most modifiable, quicker to change, and have data available to support the needed changes.
- Develop strategies to tackle the domains that may be more difficult to modify.
- Make plans to assess your program's sustainability on an ongoing basis to monitor program changes as you strive for an ongoing impact.

**Sustainability Capacity By Domain**

For more information about the Program Sustainability Assessment Tool and sustainability planning, visit <http://sustaintool.org/>

### Sustainability Report

GROUP

**Example** Submitted by: **kprewitt@wustLedu**  
Date: **July 14, 2017**

Many factors can affect sustainability, such as financial and political climate, organizational characteristics, and elements of evaluation and communication. The Program Sustainability Assessment Tool (PSAT) allows stakeholders to rate their programs on the extent to which they have processes and structures in place that will increase the likelihood of sustainability. Assessment results can then be used to identify next steps in building program capacity for sustainability in order to position efforts for long term success.

**Here is your sustainability score: 3.9**

Domain	Domain Score
Environmental Support	3.9
Funding Stability	3.4
Partnerships	2.6
Organizational Capacity	5.1
Program Evaluation	3.3
Program Adaptation	4.8
Communications	4.0
Strategic Planning	3.9

**Interpreting the Results**  
The table presents the average rating for each sustainability domain based on the responses provided by 3 participants. The remainder of the document presents the average ratings for indicators within each domain. There is no minimum rating that guarantees the sustainability of a program. However, lower ratings do indicate opportunities for improvement that a program may want to focus on when developing a plan for sustainability.

**Next Steps**

- These results can be used to guide sustainability planning for your program.
- Areas with lower ratings indicate that there is room for improvement.
- Address domains that are most modifiable, quicker to change, and have data available to support the needed changes.
- Develop strategies to tackle the domains that may be more difficult to modify.
- Make plans to assess your program's sustainability on an ongoing basis to monitor program changes as you strive for an ongoing impact.

**Average Sustainability Capacity By Domain**

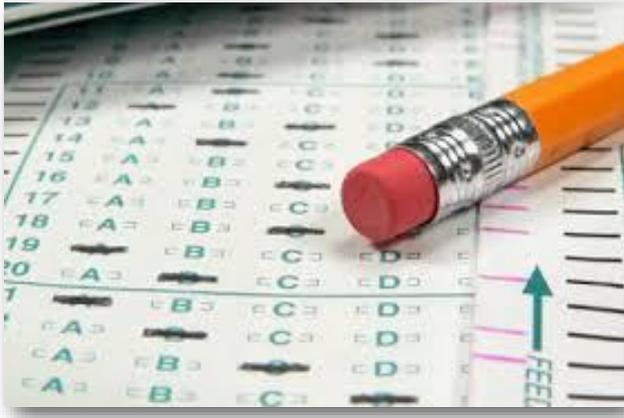
For more information about the Program Sustainability Assessment Tool and sustainability planning, visit <http://sustaintool.org/>

## Assessment Results

- Did your results surprise you at all?
- Any domains currently under-emphasized?
- What do the results tell you about your program's strengths and opportunities for improvement?
- How can you leverage your collective strengths to build on potential areas of need?



# Remember..



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# Action Planning

Sustainability Domain 1: Partnerships							
Sustainability Objective: Increase the number of community gardens from 3-4 (and ensure gardens are continuously maintained) by establishing <i>three new strategic community partnerships</i> by Dec. 30, 2016.							
Steps to achieve objective: [Be very specific and include important sub-steps. Anyone should be able to pick up this document and understand what needs to happen in order to reach your objective.]	Who will do the work? [For every task, there needs to be a responsible party/parties. Who will ultimately ensure the work gets finished?]	What does success look like? [What metrics will you use to track progress on the completion of each step? How will you know it's time to move on to the next step?]	What non-financial resources are needed for this step? Where will they come from?	Due date [In the appropriate quarter, enter a specific date by which the activity must be completed.]			
				Q1	Q2	Q3	Q4
<b>Step 1.</b> Create a list of potential community partners for creating and sustaining an additional community garden.	Program manager, with input from HEAL team	List of potential partners created	Staff time, to explore, identify and discuss potential strategic partnerships	Feb. 30, 2015			
<b>Step 2.</b> Develop a list of talking points for each potential partner.	Communications team, with oversight from Program manager	List of talking points for each potential partner created	Best practices guide for creating talking points		Apr. 15, 2015		
<b>Step 3.</b> Assign someone to reach out to each potential partner.	Program manager	Staff person assigned to each potential partner, Staff person affirms that she/he is comfortable with the talking points	None		May 15, 2015		
<b>Step 4.</b> Schedule partner meetings .	Person assigned to reach out to each partner	All meetings scheduled	None		June 15, 2015		
<b>Step 5.</b> Hold potential partner meetings.	Person assigned to communicate with each potential partner	All meetings held	Transportation to meetings				Oct. 15, 2015
<b>Step 6.</b> Establish partnership agreement with each organization/corporation/agency that is interested in partnering on the project.	Person assigned to communicate, with input from the partner and the rest of the HEAL team	Partnership agreements (agreed-upon by both parties) created for each new partner	Staff time, to communicate with new partners and create agreement				Dec. 30, 2015

## The Process

### Pre-Planning Steps

1. Identify your team
2. Target Population
3. Mission and Vision
4. What to Sustain

### Action planning

5. Choose Domain
6. Write an Objective
7. Identify Steps
8. Implement, Monitor and Reassess



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## Using your PSAT results: sustaintool.org

Program Sustainability Assessment Tool

Understand - Assess - Plan - Resources - About Us - Services

## Develop Your

Wondering how to put together a sustainability plan?  
Here's an overview of the process we recommend.

DEVELOP YOUR PLAN	WRITE YOUR PLAN
Develop a Plan	Environmental Support
Take Action	Funding Stability
	Partnerships
	Organizational Capacity
	Program Evaluation
	Program Adaptation
	Communications
	Strategic Planning

### Develop a Sustainability Action Plan

1. Assemble the planning team.
2. Review your program's mission and purpose.
3. Review your Program Sustainability Assessment Tool results.



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# Questions?



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# Resources

e-mail [integration@thenationalcouncil.org](mailto:integration@thenationalcouncil.org)

Visit the new website:

<https://www.samhsa.gov/integrated-health-solutions>



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**Thank you for joining us today.**

Please take a moment to provide your  
feedback by completing the survey at the  
end of today's webinar.



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